



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

QIONG CHENG ET. AL.

CASE NO.: CL2385USNA

APPLICATION NO.: 10/810,733

CONFIRMATION NO.: 3038

GROUP ART UNIT: 1656

EXAMINER: LEE, JAE W.

FILED: March 26, 2004

FOR: GENES ENCODING CAROTENOID COMPOUNDS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

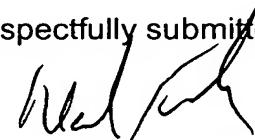
Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.

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I certify that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



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Dated: 1/25/07

Substitute for ~~Information Disclosure Statement by Applicant~~

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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### Complete if Known

Application Number	10/810733
Filing Date	March 26, 2004
First Named Inventor	QIONG CHENG
Group Art Unit	1656
Examiner Name	LEE, JAE W.
Attorney Docket Number	CL2385USNA

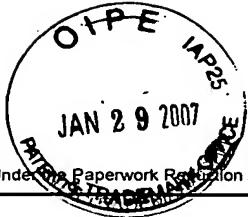
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Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		NGO, J. THOMAS et al., Computational Complexity; Protein Structure Prediction, and the Levinthal Paradox, The Protein Folding Problem and Tertiary Structure Prediction, 1994, pg. 433 and 492-495	<input type="checkbox"/>
		POTRYKUS, INGO, Gene Transfer to Cereals: An Assessment, Biotechnology, June 1990, pg. 535-542, Vol.8(6), Institute for Plant Sciences, Swiss Federal Institute of Technology (ETH), Zurich, Switzerland	<input type="checkbox"/>
		MISAWA, N. et al., Metabolic engineering for the production of carotenoids in non-carotenogenic bacteria and yeasts, Journal of Biotechnology, 1998, pg. 169-181, Vol. 59, Elsevier Science, B.V.	<input type="checkbox"/>
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Typed or printed name of person signing Certificate

302-892-7757

Registration Number, if applicable

Telephone Number

10/810733

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